

## Residue class fields of lattice-ordered algebras \*

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This paper is a continuation of [5], and is concerned with the structure of the residue class fields of the  $\Phi$ -algebras introduced and studied in that paper. These are archimedean lattice-ordered algebras with a multiplicative identity that is a weak order unit. The lattice-ordered ring  $C(\mathcal{Y})$  of all continuous real-valued functions on a topological space  $\mathcal{Y}$  is a  $\Phi$ -algebra, and it is shown in [5] that every  $\Phi$ -algebra A is isomorphic to a ring of continuous functions from a compact space  $\mathcal{X}$  into the two-point compactification of the real line R such that every  $f \in A$  is real-valued on an (open) dense subset of  $\mathcal{X}$ .

If  $A = C(\mathcal{Y})$ , and M is a maximal l-ideal of A, it is known that A/M is a real-closed field that is either the real field, or an  $\eta_1$ -set in its unique ordering. We show that for any uniformly closed  $\Phi$ -algebra A, the residue-class fields are real-closed. This result seems to be new even for  $\Phi$ -algebras of real-valued functions. Stronger assumptions must be made to guarantee that if A/M is not the real field, then it is an  $\eta_1$ -set. We show that if A is closed under countable composition (i.e. if  $\{f_n\}$  is a sequence of elements of A, and  $g \in C(R^{\infty})$ , then there is an  $h \in A$  such that  $h(x) = g(f_1(x), \ldots, f_n(x), \ldots)$  whenever all of the  $f_n$  are real-valued), then A is closed under uniform convergence, and A/M is an  $\eta_1$ -set if it is not the real field. In fact, under this hypothesis, A is a homomorphic image of  $C(\mathcal{Y})$ , for some topological space  $\mathcal{Y}$ .

It is shown also that every  $\Phi$ -algebra A is a homomorphic image of a  $\Phi$ -algebra B of real-valued functions; moreover, B can be chosen so that it is closed under countable composition, (finite) composition, uniform convergence, or bounded inversion, provided that A is.

An example is given of a uniformly closed  $\Phi$ -algebra A that is closed under (finite) composition, with a maximal l-ideal M such that A/M contains R properly, and has a countable cofinal subset. This serves to correct an error in [6].

The notation and terminology is that of [5]. An effort has been made to keep the exposition reasonably self-contained.

<sup>\*</sup> This research was supported in part by the Office of Naval Research (U. S. A.).

- 1. Residue class fields of uniformly closed  $\Phi$ -algebras. Recall from [5] that a  $\Phi$ -algebra A is said to be closed under bounded inversion provided  $1/a \in A$  whenever  $a \ge 1$  in A.
- 1.1. Lemma. A  $\Phi$ -algebra A is closed under bounded inversion if and only if every maximal ideal of A is an l-ideal.

Proof. If  $a \ge 1$  in A, then a is in no proper l-ideal of A. Hence, if every maximal ideal of A is an l-ideal, then A is closed under bounded inversion.

For the converse, let M be a maximal ring ideal of A and suppose  $b \notin M, |a| \ge |b|$ . Since A/M is a field, there is an  $x \in A$  such that bx + m = 1. Squaring, we obtain  $b^2x^2 + m' = 1$ , where  $m' = 2bxm + m^2 \in M$ . Then, since  $b^2 \le a^2$ , and  $x^2 \ge 0$ , we must have  $a^2x^2 + m' \ge 1$ . If A is closed under bounded inversion, there is a  $z \in A$  such that  $(a^2x^2 + m')z = 1$ , so that  $a(ax^2z) \equiv 1 \pmod{M}$ . Thus  $a \notin M$ . Hence M is an l-ideal of A.

As in [5], we say that A is uniformly closed if every Cauchy sequence of elements of A converges to an element of A.

If  $\mathfrak{X}$  is any compact space, let  $D(\mathfrak{X})$  denote the set of all continuous functions defined on  $\mathfrak{X}$  with values in the two point compactification  $\gamma R = [-\infty, +\infty]$  of the real line R that are real-valued on a dense (open) subset of  $\mathfrak{X}$ . If lattice operations are defined coordinatewise, then  $D(\mathfrak{X})$  forms a lattice. Let  $f, g \in D(\mathfrak{X})$ . If there is an  $h \in D(\mathfrak{X})$  such that h(x) = f(x) + g(x) whenever f(x) and g(x) are real, we write h = f + g, and similarly for multiplication. In general, neither f + g, nor  $f \cdot g$  is define. It is true, however, that every  $\Phi$ -algebra A can be isomorphically represented in  $D(\mathfrak{M}(A))$ , where  $\mathfrak{M}(A)$  is the space of maximal l-ideals of with the Stone (= hull-kernel) topology.  $\mathfrak{M}(A)$  is always a compa Hausdorff space ([5], Theorem 2.3). We will regard A as represented this way whenever it is convenient to do so.

We will also utilize the following, proved in [5], 3.2 and 3.7.

- 1.2. The following properties of a Φ-algebra A are equivalent.
- (i) A is uniformly closed.
- (ii)  $A^*$  and  $C(\mathcal{M}(A))$  are isomorphic.
- (iii) A is an order-convex subset of  $D(\mathcal{M}(A))$ .

From (ii), it is evident that every uniformly closed  $\Phi$ -algebra is closed under bounded inversion.

If  $a \in A$ , let  $\mathcal{R}(a) = \{x \in \mathcal{M}(A) : |a(x)| < \infty\}$ , let  $\mathcal{R}(a) = \{x \in \mathcal{M}(A) : a(x) = 0\}$  and let  $\mathcal{R}(a) = \mathcal{M}(A) \sim \mathcal{R}(a)$ . Finally, let  $\mathcal{R}(A) = \bigcap_{a \in A} \mathcal{R}(a)$ . If  $\mathcal{R}(A)$ 

is dense in  $\mathcal{M}(A)$ , then A is called an algebra of real-valued functions.

Let A be any  $\Phi$ -algebra, and let  $g \in C(\mathbb{R}^n)$ . If, for every  $f_1, \ldots, f_n \in A$ , there is an  $h \in A$  such that  $h(x) = g(f_1(x), \ldots, f_n(x))$ , whenever  $x \in \bigcap_{i=1}^n \mathcal{R}(f_i)$ ,

we say that A is closed under composition with g, or that A admits g. Evidently h is unique; we shall write  $h = g(f_1, ..., f_n)$ . Every  $\Phi$ -algebra admits the constant functions and the projection functions  $p_i$ , where  $p_i(\lambda_1, ..., \lambda_n) = \lambda_i$  (i = 1, 2, ..., n).

We let F(A, n) denote the family of all  $g \in C(\mathbb{R}^n)$  that A admits. It is easily verified that F(A, n) is a  $\Phi$ -algebra if operations are defined in the usual coordinatewise fashion.

If A is uniformly closed, so is F(A, n). For if  $\{g_i\}$  is a Cauchy sequence in F(A, n), then it converges to some  $g \in C(\mathbb{R}^n)$ . If  $f_1, \ldots, f_n \in A$ , then  $\{g_i(f_1, \ldots, f_n)\}$  is a Cauchy sequence of elements of A whose limit must be  $g(f_1, \ldots, f_n)$ .

Let A be uniformly closed and let  $p = (\sum_{i=1}^n p_i^2)^{1/2}$ . Note that  $R^n \subset \mathcal{M}(F(A,n))$ , and that  $\mathcal{R}(p) = R^n$ . Hence by [5], Lemma 3.5, every  $g \in C^*(R^n)$  has a continuous extension over  $\mathcal{M}(F(A,n))$ , so  $\mathcal{M}(F(A,n))$  and  $\beta R^n$  are homeomorphic. By 1.2 (iii), F(A,n) is an order-convex sub- $\Phi$ -algebra of  $C(R^n)$ . Thus, we have established

1.3. LEMMA. If A is a uniformly closed  $\Phi$ -algebra, then, for n=1,2,..., F(A,n) is a uniformly closed sub- $\Phi$ -algebra of  $C(R^n)$  containing all  $g \in C(R^n)$  such that  $|g| \leq \lambda (1+p^2)^m$  for some  $\lambda \in R^+$ , and some positive integer m.

Recall that a totally ordered field F is called *real-closed* if every  $a \in F^+$  has a square root and every polynomial of odd degree with coefficients in F has a zero in F.

1.4. THEOREM. If A is a uniformly closed  $\Phi$ -algebra, and  $M \in \mathcal{M}(A)$ , then A/M is a real-closed field.

Proof. Since A is closed under bounded inversion, Lemma 1.1 shows that A/M is a field. By [5] Theorem 3.8, every  $a \in A^+$  has a square root, so we need only show that polynomials of odd degree with coefficients in A/M have zeros.

Let  $p_{\lambda}(w)=w^{m+1}+\lambda_m w^m+\ldots+\lambda_0$  denote a monic polynomial with real coefficients of positive degree. Let  $r_1(\lambda), r_2(\lambda), \ldots, r_{m+1}(\lambda)$  denote the real parts of the complex zeros of  $p_{\lambda}(w)$  indexed so that  $r_1(\lambda)\leqslant r_2(\lambda)\leqslant \ldots\leqslant r_{m+1}(\lambda)$ . This serves to define m+1 real-valued functions on  $R^{m+1}$ . It is known that each of these functions is continuous ([4]). Moreover, by [9], p. 96,  $|r_i(\lambda)|<1+|\lambda_0|\vee|\lambda_1|\vee\ldots\vee|\lambda_m|$  for each  $\lambda=(\lambda_0,\ldots,\lambda_m)$   $\epsilon R^{m+1}$ , and  $i=1,\ldots,m+1$ . Hence, by Lemma 1.3, A is closed under composition with  $r_i$ .

Let  $q(w) = w^{2n+1} + f_{2n}w^{2n} + ... + f_0$  denote a monic polynomial of odd degree with coefficients in A. By the above,  $s_i = r_i(f_0, ..., f_{2n}) \in A$ . Since q(w) has odd degree, for each  $x \in \bigcap_{i=1}^{2n+1} \mathcal{R}(f_i)$ , there is an i such that  $q(s_i)(x) = 0$ .

Hence  $q(s_1)q(s_2)...q(s_{2n+1})=0$ . Since M is a prime ideal, there is an  $i_0$  such that  $q(s_{i_0}) \in M$ . Hence A/M is a real-closed field.

The argument just given enables us to reach the following slightly stronger conclusion. If A is uniformly closed  $\Phi$ -algebra, and P is a prime l-ideal of A, then every positive element of A/P has a square root, and every monic polynomial of odd degree with coefficients in A/P has a zero in A/P. Also, as we will show next, the assumption that P is an l-ideal is redundant.

1.5. Lemma. Every prime ideal P of a uniformly closed  $\Phi$ -algebra A is an l-ideal.

Proof. Since  $|c|^2 = c^2$ , we know that  $c \in P$  if and only if  $|c| \in P$ . Thus, since  $|c| = (|c| \land 1)(|c| \lor 1)$ , and since, by Lemma 1.2, A is closed under bounded inversion,  $c \in P$  if and only if  $|c| \land 1 \in P$ .

Suppose now that  $|b| \leq |a|$ , and  $a \in P$ . Then  $|b| \wedge 1 \leq |a| \wedge 1 \in P \cap A^*$ . But, by Lemma 1.2,  $A^*$  and  $C(\mathcal{M}(A))$  are isomorphic, and by [3], Chapt. 14, every prime ideal of the latter is an l-ideal. So  $|b| \wedge 1 \in P$ , whence  $b \in P$ . Hence P is an l-ideal.

1.6. REMARK. It is remarked in [3], Chapt. 13, that any totally ordered field containing R properly in which exponentiation of positive elements to real powers can be defined has degree of transcendency at least c over R. It follows that if A is a uniformly closed  $\Phi$ -algebra, and  $M \in \mathcal{M}(A)$  is hyper-real, then A/M has degree of transcendency at least c over R.

If S and T are subsets of a totally ordered set L, and s < t whenever  $s \in S$  and  $t \in T$ , we will write S < T.

1.7. THEOREM. Let P be a prime ideal of a uniformly closed  $\Phi$ -algebra A. If S and T are countably infinite subsets of A/P such that S has no largest element, T has no smallest element, and S < T, then there is an  $\alpha \in A/P$  such that  $S < \alpha < T$ .

Proof. Since, by 1.5, P is a prime l-ideal, A/P is totally ordered, and by 1.2 ff. we may assume that  $0 \le S < T \le 1$ . By Lemma 1.2,  $A^* \cong C(\mathcal{M}(A))$ . Kohls has shown that the conclusion follows in case  $A \cong C(\mathcal{Y})$  for any space  $\mathcal{Y}$  ([8], Theorem 2.6). Since

$$\frac{A^*}{P \cap A^*} \cong \frac{A^* + P}{P} \subset A/P ,$$

the conclusion holds in this case as well.

A totally ordered set L is called an  $\eta_1$ -set if whenever S and T are countable subsets of L such that S < T, then there is an  $a \in L$  such that S < a < T. In particular, an  $\eta_1$ -set has no countable cofinal or coinitial subset.

For any topological space  $\mathcal{Y}$ , and any hyper-real maximal ideal M of  $C(\mathcal{Y})$ , it is known that  $C(\mathcal{Y})/M$  is an  $\eta_1$ -set. Example 1.9 below shows

strongly that no comparable conclusion holds for arbitrary uniformly closed  $\Phi$ -algebras.

Most of the remainder of the paper will be devoted to a discussion of the extra hypotheses needed to conclude that A/M is an  $\eta_1$ -set.

A  $\Phi$ -algebra A is said to be closed under (finite) composition if  $F(A, n) = C(R^n)$  for n = 1, 2, ...; that is, if A admits every  $g \in C(R^n)$ .

As in [5], A is said to be closed under l-inversion if  $\langle a \rangle = A$  whenever  $\mathcal{Z}(a) \subset \mathcal{N}(b)$  for some  $b \in A$ . (Recall that  $\langle a \rangle$  is the smallest l-ideal of A containing a.)

## 1.8. LEMMA. Let A be a P-algebra.

- (i) If  $F(A, 2) = C(R^2)$  (in particular, if A is closed under composition), then A is closed under l-inversion.
- (ii) If A is closed under uniform convergence and l-inversion, then A is closed under composition.

Proof. (i) Let  $a, b \in A$ , and suppose that  $\mathcal{Z}(a) \subset \mathcal{N}(b)$ . Let  $h = |a| \lor |b|$ , let  $B_h = \{f \in A: \mathcal{R}(f) \supset \mathcal{R}(h)\}$ , and let  $\mathcal{H} = \{(a(x), b(x)) \in \mathbb{R}^2: x \in \mathcal{R}(h)\}$ . If  $(0, q) \in \mathcal{H}^-$ , then there is a sequence  $\{x_n\}$  of points of  $\mathcal{R}(h)$  such that  $a(x_n) \to 0$  and  $b(x_n) \to q$ . Since  $\mathcal{M}(A)$  is compact,  $\{x_n\}$  has a limit point  $x \in \mathcal{M}(A)$ . Clearly a(x) = 0, and b(x) = q, contrary to the assumption that  $\mathcal{Z}(a) \subset \mathcal{N}(b)$ . Thus, the function q defined on  $\mathcal{H}^-$  by letting q(p, q) = 1/p is continuous. By the Tietze extension theorem, it has an extension  $\bar{q} \in \mathcal{O}(\mathbb{R}^2)$ . Since  $F(A, 2) = \mathcal{O}(\mathbb{R}^2)$ , this shows that  $1/a \in A$ .

(ii) Suppose that  $f_1, ..., f_n \in A$ , let  $h = |f_1| \vee ... \vee |f_n|$ , and let  $B_h = \{a \in A : \mathcal{R}(a) \supset \mathcal{R}(h)\}$ . By [5], Theorem 5.8, since A is closed under uniform convergence and l-inversion,  $B_h$  and  $C(\mathcal{R}(h))$  are isomorphic. Hence, for any  $g \in C(\mathbb{R}^n)$ ,  $g(f_1, ..., f_n) \in A$  (n = 1, 2, ...). Thus, A is closed under composition.

In [6], Theorem 1.28, Isbell states that if A is an algebra of real-valued functions closed under uniform convergence and composition, and  $M \in \mathcal{M}(A)$  is hyper-real, then A/M is an  $\eta_1$ -set. While he establishes correctly the conclusion of Theorem 1.7 above, A/M may have a countable cofinal subset, as is shown by the following. For  $a \in A$ , the image of a in A/M is denoted by M(a).

1.9. EXAMPLE. There exists a uniformly closed  $\Phi$ -algebra A, closed under composition, and a hyper-real  $M \in \mathcal{M}(A)$  such that A/M has a countable cofinal subset.

Proof. Let  $\mathcal{G}$  denote the space of irrational numbers in (0,1) with its usual topology. Since  $\beta\mathcal{G}$  is the largest compactification of  $\mathcal{G}$ , there is a continuous mapping  $\pi$  of  $\beta\mathcal{G}$  onto [0,1] keeping  $\mathcal{G}$  pointwise fixed. Let  $\mathcal{E}_0 = \pi^{-1}(0)$ , and for i = 1, 2, ..., let  $\mathcal{G}_i = \{1/p^j \in (0,1): p \text{ a prime; } j \text{ a positive integer, } j \leqslant i\}$ , let  $\mathcal{E}_i = \mathcal{E}_0 \cup \pi^{-1}(\mathcal{G}_i)$ , and let  $\mathcal{G}_i = \beta\mathcal{G} \sim \mathcal{E}_i$ .

Observe that  $\mathcal{G} \subset \mathcal{Y}_{i+1} \subset \mathcal{Y}_i$  for i=1,2,..., and let  $A_i = \{f \in D(\beta \mathcal{G}): \mathcal{R}(f) \supset \mathcal{Y}_i\}$ . Since  $\mathcal{Y}_i$  contains  $\mathcal{G}$ , it is  $C^*$ -imbedded in  $\beta \mathcal{G}$ , so  $A_i$  and  $C(\mathcal{Y}_i)$  are isomorphic. Finally, let  $A = \bigcup_{i=1}^{\infty} A_i$ .

If  $\{f_n\}$  is a Cauchy sequence of elements of A, then (as is noted in [5], 3.1)  $\mathcal{R}(f_n) = \mathcal{R}(f_{n+1}) = \dots$  for all but finitely many of the  $f_n$ . Thus we may assume that  $\{f_n\} \subset A_i$  for some i, whence  $\{f_n\}$  converges. Similarly, any finite number of elements of A is contained in some  $A_i$ . Thus A is closed under uniform convergence and l-inversion.

If every point of the compact space  $\mathcal{Z}_0$  had a neighborhood meeting only finitely many of the sets  $\{\mathcal{Z}_{i+1} \sim \mathcal{Z}_i\}$ , then  $\mathcal{Z}_0$  itself would have such a neighborhood. But every neighborhood of 0 meets infinitely many of the sets  $\mathcal{S}_{i+1} \sim \mathcal{S}_i$ , so this cannot be the case. Hence, there is an  $x \in \mathcal{Z}_0$  such that every neighborhood of x meets infinitely many of the sets  $\{\mathcal{Z}_{i+1} \sim \mathcal{Z}_i\}$ . By a suitable change of notation, we may assume that every neighborhood of x meets all such sets.

Now each  $\mathcal{Z}_i$  is the inverse image of a closed subset of a metrizable space, and hence is a closed  $G_{\delta}$ . Hence there is an  $f_i \in A_i^+$  such that  $\mathcal{N}(f_i) = \mathcal{Z}_i$ . Now,  $M_x(f_i)$  is greater than all the constant functions, so  $M_x$  is hyper-real. If  $g \in A$ , there is an i such that  $\mathcal{N}(g) \subset \mathcal{Z}_i$ . Suppose there were an  $h \in M_x$  such that  $g + h \geqslant f_{i+1}$ . Then  $\mathcal{N}(f_{i+1}) \subset \mathcal{N}(g) \cup \mathcal{N}(h)$ , and hence  $\mathcal{N}(h) \supset \mathcal{N}(f_{i+1}) \sim \mathcal{N}(g) \supset \mathcal{Z}_{i+1} \sim \mathcal{Z}_i$ . But this latter set has x as a limit point, contrary to the fact that  $h \in M_x$ . We conclude that  $\{M_x(f_i): i=1,2,...\}$  is a countable cofinal subset of  $A/M_x$ .

2.  $\Phi$ -algebras closed under countable composition. The example of the last section motivates the consideration of a more restricted class of  $\Phi$ -algebras.

We designate a countable product of copies of R as  $R^{\infty}$ .

Let A be a  $\Phi$ -algebra, and suppose that for every  $g \in C(\mathbb{R}^{\infty})$ , and every sequence  $\{f_n: n=1,2,...\}$  of elements of A, there is an  $h \in A$  such that  $h(x) = g(f_1(x),...,f_n(x),...)$  whenever  $x \in \bigcap_{n=1}^{\infty} \mathcal{R}(f_n)$ ; we say that A is closed under countable composition. By the Baire category theorem,  $\bigcap_{n=1}^{\infty} \mathcal{R}(f_n)$  is dense in  $\mathcal{M}(A)$ , so h is unique. We denote it by  $g(f_1, f_2, ..., f_n, ...)$ .

Clearly, if A is closed under countable composition, it is closed under composition, and hence, by Lemma 1.8, it is closed under l-inversion. This motivates the consideration of the following concept.

A  $\Phi$ -algebra A is said to be closed under countable l-inversion provided that  $\langle g \rangle = A$  for each  $g \in A$  for which there is a sequence  $\{f_n \colon n = 1, 2, ...\}$  of elements of A such that  $\mathfrak{Z}(g) \subset \bigcup_{n=1}^{\infty} \mathcal{N}(f_n)$ .

The relationship between these two latter concepts is given by

2.1. THEOREM. A  $\Phi$ -algebra A is closed under countable composition if and only if it is uniformly closed and closed under countable l-inversion.

Proof of necessity. Suppose that A is closed under countable composition, and that  $Z(g) \subset \bigcup_{n=1}^{\infty} \mathcal{H}(f_n)$  for some  $g, f_1, ..., f_n, ...$  in A.

Let  $g = f_0$ ,  $\mathcal{Y} = \bigcap_{n=0}^{\infty} \mathcal{R}(f_n)$ , and define  $\psi \colon \mathcal{Y} \to \mathbb{R}^{\infty}$  by letting  $\psi(y) = (f_0(y), f_1(y), \dots, f_n(y), \dots)$  for all  $y \in \mathcal{Y}$ . Let  $\mathcal{H}$  denote the closure in  $\mathbb{R}^{\infty}$  of  $\psi[\mathcal{Y}]$ . If  $x = (x_0, x_1, \dots, x_n, \dots) \in \mathcal{H}$ , then  $x_0 \neq 0$ . For, otherwise there would be a sequence  $\{y_n\}$  of points of  $\mathcal{Y}$  such that  $\psi(y_n)$  converges to x. Since  $\mathcal{M}(A)$  is compact,  $\{y_n\}$  has an accumulation point in  $\mathcal{M}(A)$ , which is a point of Z(g) not in  $\bigcup_{n=1}^{\infty} \mathcal{H}(f_n)$ .

Hence the function  $r: \mathcal{H} \to R$  defined by letting  $r(x_0, x_1, ..., x_n, ...) = 1/x_0$  is well-defined and continuous. By the Tietze extension theorem ([7], p. 242), r has an extension  $s \in C(R^{\infty})$ . Since A is closed under countable composition,  $s(f_0, f_1, ..., f_n, ...)$  is an element h of A such that gh = 1 on the dense subset  $\mathcal{Y}$  of  $\mathcal{M}(A)$ . Thus h is the inverse of g, whence  $\langle g \rangle = A$ .

Suppose next that  $\{f_n\}$  is a Cauchy sequence of elements of A, define  $\mathcal{Y}$  as above, define  $\psi \colon \mathcal{Y} \to R^{\infty}$  by letting  $\psi(y) = (f_1(y), ..., f_n(y), ...)$  for all  $y \in \mathcal{Y}$ , and let  $\mathcal{Y}$  denote the closure of  $\psi[\mathcal{Y}]$  in  $R^{\infty}$ .

Since  $\{f_n\}$  is a Cauchy sequence, for every  $\varepsilon > 0$  there is a positive integer m such that for every  $x = (x_1, x_2, ..., x_n, ...)$  of  $\psi[\mathcal{Y}]$ ,  $|x_p - x_q| < \varepsilon$  whenever  $p, q \ge m$ . For any  $z \in \mathcal{H}$ , if  $p, q \ge m$ , then  $|z_p - z_q| \le \varepsilon$ . For, if not, for some such z, p, and q, there is a  $\delta > 0$  such that  $|z_p - z_q| = \varepsilon + 2\delta$ . Then  $\{w \in R^{\infty}: |w_p - z_p| < \delta \text{ and } |w_q - z_q| < \delta \}$  is a neighborhood of z in  $R^{\infty}$  that contains no point of  $\psi[\mathcal{Y}]$ , contrary to the fact that  $z \in \mathcal{H}$ . Hence, for each  $z \in \mathcal{H}$ ,  $\{z_n\}$  is a Cauchy sequence. Define  $s: \mathcal{H} \to R$  by letting  $s(z) = \lim_{n \to \infty} z_n$ . It is easily verified that  $s \in C(\mathcal{H})$ . By the Tietze extension theorem, s has a continuous extension  $t \in C(R^{\infty})$ . Since A is closed under countable composition,  $h = t(f_1, f_2, ..., f_n, ...) \in A$ . Clearly  $\{f_n\}$  converges to h. This completes the proof of the necessity.

Before proving the sufficiency, we prove two lemmas that are of independent interest.

Recall that a topological space  $\mathcal Y$  is called a *Lindelöf space* if every open cover of  $\mathcal Y$  has a countable subcover,

2.2. LEMMA. Let  $\Im$  be a subspace of a compact space X such that for some countable family  $\Psi$  of closed subsets of X, for every pair of points  $p \in \Im$ ,  $q \in X \sim \Im$  there is a set in  $\Psi$  containing p but not q. Then  $\Im$  is a Lindelöf space.

Proof. Let  $\{\mathcal{U}_a\colon a\in \Gamma\}$  denote an open cover of  $\mathcal{Y}$ . For each  $a\in \Gamma$ , let  $\mathcal{R}_a=\mathcal{Y}\sim\mathcal{U}_a$ ,  $\mathcal{S}_a$  denote the closure of  $\mathcal{R}_a$  in  $\mathcal{X}$ , and let  $\mathcal{V}_a=\mathcal{X}\sim\mathcal{S}_a$ . Clearly  $\mathcal{V}_a \cap \mathcal{Y}=\mathcal{U}_a$ , and the sets  $\mathcal{V}_a$  cover  $\mathcal{X}\sim\mathcal{V}$ , where  $\mathcal{V}=\bigcap\{\mathcal{S}_a\colon a\in\Gamma\}$ . Clearly  $\mathcal{V}$  is a compact subset of  $\mathcal{X}\sim\mathcal{Y}$ .

Let  $\mathcal F$  denote the union of all those subsets of  $\mathcal K$  that are disjoint from  $\mathcal H$ , and are finite intersections of elements of  $\mathcal F$ . Then  $\mathcal F$  is  $\sigma$ -compact, and hence is a Lindelöf space. Thus, it suffices to show that  $\mathcal Y \subset \mathcal F$ . But, for each  $p \in \mathcal Y$ , by hypothesis, the intersection of all the elements of  $\mathcal Y$  containing p is disjoint from  $\mathcal H \subset \mathcal K \sim \mathcal Y$ . Hence some finite intersection of them is disjoint from  $\mathcal H$ . Hence  $\mathcal Y \subset \mathcal F$ .

2.3. COROLLARY. Every subset of a compact space X that is in the smallest family of subsets of X containing the closed subsets and closed under countable union and intersection, is a Lindelöf space. In particular, for any  $\Phi$ -algebra A and any sequence  $\{f_n\}$  of elements of A,  $\bigcap_{n=1}^{\infty} \mathcal{R}(f_n)$  is a Lindelöf space.

Proof. Every closed subspace of  $\mathcal{X}$  satisfies the hypothesis of Lemma 2.2, so it sufficies to show that if  $\mathcal{E}_n$  satisfies this latter condition with associated countable family of closed sets  $\mathcal{Y}_n$  for n=1,2,..., then so does  $\mathcal{Y}=\bigcup_{n=1}^{\infty}\mathcal{E}_n$ , and  $\mathcal{Z}=\bigcap_{n=1}^{\infty}\mathcal{E}_n$ . If  $p\in\mathcal{Y}$ ,  $q\in\mathcal{X}\sim\mathcal{Y}$ , then  $p\in\mathcal{E}_n$  for some n, and  $q\notin\mathcal{E}_n$ , so there is an element of  $\mathcal{Y}_n$  that contains p and not q. Thus,  $\mathcal{Y}$  satisfies the hypothesis of Lemma 2.2 with associated countable family of closed sets  $\bigcup_{n=1}^{\infty}\mathcal{Y}_n$ . The proof for  $\mathcal{Z}$  is similar.

2.4. LEMMA. Let A be a uniformly closed  $\Phi$ -algebra that is closed under countable l-inversion, let  $\{f_n\}$  be a sequence of elements of A, let  $\mathcal{Y} = \bigcap_{n=1}^{\infty} \mathcal{R}(f_n)$ , and let  $B = \{g \in A \colon \mathcal{Y} \subset \mathcal{R}(g)\}$ . Then B and  $C(\mathcal{Y})$  are isomorphic.

Proof. Clearly B is a sub- $\Phi$ -algebra of A. Since  $B \supset A^*$ ,  $\mathcal{M}(B) = \mathcal{M}(A)$ , and since A is uniformly closed, so is B. Since  $f_n \in B$  for  $n = 1, 2, ..., \mathcal{R}(B) = \mathcal{Y}$ . B is also closed under inversion of elements without zeros in  $\mathcal{R}(B)$ . For, if  $Z(g) \cap \mathcal{R}(B) = \emptyset$ , then  $\mathcal{Z}(g) \subset \bigcup_{n=1}^{\infty} \mathcal{N}(f_n)$ , so, since A is closed under countable l-inversion, 1/g is in A and is real-valued on  $\mathcal{Y}$ . By Corollary 2.3,  $\mathcal{Y}$  is a Lindelöf space, so by [5], Lemma 5.3, for every  $h \in C(\mathcal{Y})$ , there is a  $b \in C^*(\mathcal{M}(B))$  such that  $h^{-1}(0) = \mathcal{Z}(b) \cap \mathcal{Y}$ . It follows from [5], Theorem 5.2 that B and  $C(\mathcal{R}(B))$  are isomorphic.

The proof of sufficiency for Theorem 2.1 is now easy in view of Lemma 2.4. If  $\{f_n\}$  is any sequence of elements of a uniformly closed  $\Phi$ -algebra that is closed under countable l-inversion, then, by Lemma 2.4,

if  $\mathcal{Y} = \bigcap_{n=1}^{\infty} \mathcal{R}(f_n)$ , then  $C(\mathcal{Y})$  is a subalgebra of A. So, for any  $g \in C(\mathbb{R}^{\infty})$ ,  $g(f_1, \ldots, f_n, \ldots)$  is in A.

Before returning to hyper-real residue class fields, we prove

2.5. THEOREM. Every  $\Phi$ -algebra A can be obtained as a homomorphic image of a  $\Phi$ -algebra B of real-valued functions in such a way that if A is uniformly closed, or closed under bounded inversion, or composition, or countable composition, then so is B.

Proof. B will be defined as an algebra of continuous real-valued functions on  $\mathcal{M}(A) \times \mathcal{H}$  where  $\mathcal{H}$  is the discrete space of positive integers. Every element g of B will be regarded as a sequence  $\{g_n\}$  of functions on  $\mathcal{M}(A)$ , where  $g_n(p) = g(p, n)$ , for all  $p \in \mathcal{M}(A)$ . B consists precisely of all those  $\{g_n\}$  which converge pointwise to an element of A on a dense  $G_o$ ; i.e. those  $g \in C(\mathcal{M}(A) \times \mathcal{H})$  such that for some  $f \in A$ , and for some dense  $G_o$ -set  $\mathcal{H} \subset \mathcal{M}(A)$ , for each  $p \in \mathcal{H}$ , the sequence  $\{g(p, n)\}$  of real numbers converges in  $p \in C(n)$  to  $p \in C(n)$ .

Since the intersection of two dense  $G_{\sigma}$ -sets is dense, each  $g \in B$  converges to a unique  $\lambda(g) \in A$ . Similarly, it is easily verified that B is a  $\Phi$ -algebra, and that  $\lambda$  is a homomorphism of B into A. Moreover, if  $f \in A$ , and  $g_n = (f \wedge n) \vee (-n)$  for n = 1, 2, ..., then  $g_n(p)$  converges to f(p) for all  $p \in \mathcal{M}(A)$ . Hence  $\lambda(g) = f$ , so  $\lambda$  is a homomorphism of B onto A.

Suppose that A is closed under countable composition, that  $\{g_n\}$  is a sequence of elements of B, and that  $h \in C(R^{\infty})$ . For n = 1, 2, ..., there is a dense  $G_{\sigma}$ -set  $\mathcal{Y}_n$  in  $\mathcal{M}(A)$  such that for each  $p \in \mathcal{Y}_n$ ,  $g_n(p, m)$  converges to  $\lambda(g_n)(p)$ . Let  $\mathcal{Y}$  denote the intersection of all the  $\mathcal{Y}_n$  and all  $\mathcal{R}(\lambda(g_n))$ , for n = 1, 2, ... Since  $\mathcal{M}(A)$  is compact, this countable intersection of dense  $G_{\sigma}$ -sets is a dense  $G_{\sigma}$ -set. Moreover, each  $\lambda(g_n)$  is real-valued on  $\mathcal{Y}_n$ , and so is  $h(\lambda(g_1), ..., \lambda(g_n), ...) \in A$ . For each  $p \in \mathcal{Y}_n$ , and for each  $p \in \mathcal{Y}_n$ , and for each  $p \in \mathcal{Y}_n$ , whose  $p \in \mathcal{Y}_n$  converge to  $p \in \mathcal{Y}_n$ . Then the points  $p \in \mathcal{Y}_n$  whose  $p \in \mathcal{Y}_n$  the coordinates are  $p \in \mathcal{Y}_n$ . Since  $p \in \mathcal{Y}_n$  is continuous,  $p \in \mathcal{Y}_n$  whose limit  $p \in \mathcal{Y}_n$  has as  $p \in \mathcal{Y}_n$ -the coordinate  $p \in \mathcal{Y}_n$ . Since  $p \in \mathcal{Y}_n$  is continuous,  $p \in \mathcal{Y}_n$  is in  $p \in \mathcal{Y}_n$ . Thus, the well-defined continuous function  $p \in \mathcal{Y}_n$  to  $p \in \mathcal{Y}_n$  is in  $p \in \mathcal{Y}_n$ . In the converges pointwise on  $p \in \mathcal{Y}_n$  to  $p \in \mathcal{Y}_n$  is in  $p \in \mathcal{Y}_n$ . That is,  $p \in \mathcal{Y}_n$  is closed under countable composition.

Simplified versions of the preceding establish the remaining assertions.

In [1], 2.1, Corson and Isbell show that if an algebra A of real-valued functions is closed under countable composition, then it is closed under composition for all higher cardinals. This fact may be used to establish the following.

2.6. Theorem. Every  $\Phi$ -algebra A closed under countable composition is a homomorphic image of  $C(\mathcal{Y})$  for some topological space  $\mathcal{Y}$ .

For, by Theorem 2.5, we may assume without loss of generality that A is an algebra of real-valued functions. Let  $\mathcal Y$  denote the cartesian product of as many copies  $R_f$  of R as there are elements f of A. Let e denote the mapping of  $\mathcal R(A)$  into  $\mathcal Y$  such that the f-th coordinate  $e(x)_f$  of e(x) is f(x). Finally, let  $\tau g = g \cdot e$  for each  $g \in C(\mathcal Y)$ . By the result cited above, since A is closed under countable composition, and hence unlimited composition,  $\tau g \in A$  for all  $g \in C(\mathcal Y)$ . Clearly  $\tau$  is a homomorphism of  $C(\mathcal Y)$  onto A.

In [2], it is shown that if M is a hyper-real maximal ideal of  $O(\mathcal{Y})$ , for some topological space  $\mathcal{Y}$ , then  $O(\mathcal{Y})/M$  is an  $\eta_1$ -set. Hence, by Theorems 1.4 and 2.6, we have immediately

- 2.7. COROLLARY. If A is a  $\Phi$ -algebra closed under countable composition, and  $M \in \mathcal{M}(A)$  is hyper-real, then A/M is real-closed field that is an  $\eta_1$ -set.
- 2.8. Corollary. If  $A = D(\mathcal{M}(A))$  is a  $\Phi$ -algebra, and  $M \in \mathcal{M}(A)$  is hyper-real, then A/M is a real-closed field that is an  $\eta_1$ -set.

Proof. By 2.1 and 2.7, it suffices to show that the  $\Phi$ -algebra  $A = D(\mathcal{M}(A))$  is closed under countable *l*-inversion and uniform convergence. The latter follows immediately from Lemma 1.2. Let  $\{f_n\}$  be a sequence of elements of A such that  $Z(g) \subset \bigcup_{n=1}^{\infty} \mathcal{N}(f_n)$ . Then  $\mathcal{Z}(g)$  is nowhere dense and g cannot be a divisor of zero. Thus, by [5], Theorem 3.9,  $1/g \in A$ .

In [2], it is shown that all real-closed  $\eta_{\alpha}$ -fields of power  $\aleph_{\alpha}$  are isomorphic, if  $\alpha > 0$ . It follows from Corollary 2.8 that, if  $\aleph_1 = c$ , then all of the residue class fields of the  $\Phi$ -algebra of all Lebesgue measurable functions on R, modulo the ideal of functions vanishing off sets of measure zero, are isomorphic. See [5], Corollary 3.10.

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Reçu par la Rédaction le 1. 9. 1960