

## Surjectivity of Operators Involving Linear Noninvertible Part and Nonlinear Compact Perturbation

By Svatopluk Fučík

(Charles University, Czechoslovakia)

### Abstract.

Consider an equation  $A(u) - S(u) = h$ , where  $A$  is a linear selfadjoint Fredholm operator in a real Hilbert space  $H$  with a nonzero null-space  $N(A)$  and  $S$  is a nonlinear completely continuous mapping. The operator  $S$  is of linear growth at infinity and satisfies a certain coercivity condition with respect to  $N(A)$ . It is proved that the equation has a solution for an arbitrary  $h \in H$ . The abstract theorem is applied to show the existence of weak solutions of boundary value problems for nonlinear differential equations.

### 1. Introduction.

This paper deals with solvability of nonlinear equations of the type  $A(u) - S(u) = h$ , where  $A$  is a linear selfadjoint operator in a real Hilbert space  $H$  with a nonzero null-space  $N(A)$ ,  $h \in H$ ,  $S$  is a nonlinear completely continuous operator. Under some additional assumptions on the operator  $S$  it is proved (see Theorem 1) that the equation considered is solvable for an arbitrary  $h \in H$ . The same assertion under the assumption  $N(A) = \{0\}$  and under suitable conditions on the operator  $S$  is proved e. g. in [1] and in other papers which deal with the so-called "Fredholm alternative for nonlinear operators". A result concerning solvability of the homogeneous Dirichlet problem for nonlinear elliptic partial differential equations whose linear part has a trivial null-space is established in [3] and generalized in [4]. The case of nontrivial null-space  $N(A)$  is considered in [2] where the following growth condition on the nonlinear operator  $S$  is used: There exist  $\mu_1 \geq 0$ ,  $\mu_2 > 0$ ,  $\delta \in (0, 1)$  such that

$$\|S(u)\| \leq \mu_1 + \mu_2 \|u\|^\delta$$

for each  $u \in H$ .

In the present paper an analogous growth condition with  $\delta = 1$  is introduced, the constant  $\mu_2$  being assumed sufficiently small. As an example of applicability of Theorem 1 we shall give an assertion concerning weak solvability of boundary value problems for nonlinear differential equations (see Theorem 2).

## 2. Main result.

The main result of this paper is the following.

**Theorem 1.** *Let  $H$  be a real Hilbert space with the inner product  $(\cdot, \cdot)$ . Let  $A$  be a linear bounded selfadjoint operator from  $H$  into  $H$  with a closed range  $R(A)$  and finite-dimensional null-space  $N(A)$ ,  $\dim N(A) \geq 1$ .*

Let  $S$  be a completely continuous operator from  $H$  into  $H$  (i.e.,  $S$  is continuous and maps bounded subsets of  $H$  into compact sets). Suppose that there are two constants  $\mu_1 \geq 0$ ,  $\mu_2 > 0$  such that

$$(S1) \quad \|S(u)\| \leq \mu_1 + \mu_2 \|u\|$$

for all  $u \in H$ .

Moreover, suppose that there exists  $\delta > 0$  satisfying the following condition: for any  $K > 0$  we have  $t_K > 0$  such that

$$(S2) \quad (S(t(w+v)), w) \geq K$$

for all  $t \geq t_K$ ,  $\|w\|=1$ ,  $w \in N(A)$ ,  $v \in R(A)$ ,  $\|v\| \leq \delta$ .

Then the equation

$$(2.1) \quad A(u) - S(u) = h$$

is solvable for each  $h \in H$  provided the constant  $\mu_2$  satisfies the inequality

$$(2.2) \quad \mu_2 < \delta \|M\|^{-1} (1 + \delta)^{-1},$$

where  $M: R(A) \rightarrow R(A)$  is the right inverse of the operator  $A$ <sup>1)</sup>.

**Proof. A.** Let  $h \in H$  be arbitrary but fixed. To prove that the equation (2.1) is solvable with the right hand side  $h$  it is sufficient to show that the equation

$$(2.3) \quad A(u) - U(u) = 0$$

is solvable, where  $U(u) = S(u) + h$ .

The operator  $U$  is completely continuous and satisfies

$$(2.4) \quad \|U(u)\| \leq (\mu_1 + \|h\|) + \mu_2 \|u\| = \bar{\mu}_1 + \mu_2 \|u\|.$$

Put

$$K = 1 - \min_{\substack{w \in N(A) \\ \|w\|=1}} (h, w).$$

Then

$$(2.5) \quad (U(t(w+v)), w) \geq (S(t(w+v)), w) + \min_{\substack{w \in N(A) \\ \|w\|=1}} (h, w) \geq 1$$

1) For the definition and properties of the right inverse of the operator  $A$  see part B of the proof of Theorem 1.

for all  $t \geq t_K$ ,  $w \in N(A)$ ,  $\|w\|=1$ ,  $v \in R(A)$ ,  $\|v\| \leq \delta$ .

**B.** Denote by  $P$  the orthogonal projection from  $H$  onto  $N(A)$  and let  $Q = I - P$  ( $I$  is the identity operator), i. e.,  $Q$  is the orthogonal projection from  $H$  onto  $R(A)$ .

Under our assumptions there exists a linear continuous map (the so-called right inverse)  $M: R(A) \rightarrow R(A)$  satisfying

$$\begin{aligned} MA(x) &= Q(x) \quad (x \in H), \\ AM(y) &= y \quad (y \in R(A)). \end{aligned}$$

**C.** Define the family  $\{V_\varepsilon\}_{\varepsilon > 0}$  of mappings

$$V_\varepsilon: N(A) \times R(A) \rightarrow N(A) \times R(A)$$

by

$$V_\varepsilon: [w, v] \mapsto [w - \varepsilon PU(w + MQU(w + v)), MQU(w + v)].$$

It is easy to see that if for some  $\varepsilon > 0$  the operator  $V_\varepsilon$  has a fixed point  $[w_0, v_0]$ , i. e.,

$$V_\varepsilon(w_0, v_0) = [w_0, v_0]$$

then the equation (2.3) has a solution  $w_0 + v_0$ . For any  $\varepsilon > 0$  the mapping  $V_\varepsilon$  is completely continuous from  $N(A) \times R(A)$  into  $N(A) \times R(A)$ .

**D.** To prove that for some  $\varepsilon > 0$  the operator  $V_\varepsilon$  has a fixed point in  $N(A) \times R(A)$  it is sufficient to show (by Schauder Fixed Point Theorem and by part **C** of this proof) the existence of a nonempty convex closed and bounded set  $\mathcal{K} \subset N(A) \times R(A)$  and  $\varepsilon > 0$  such that

$$V_\varepsilon(\mathcal{K}) \subset \mathcal{K}.$$

**E.** Put

$$a_0 = \|M\| \bar{\mu}_1 (1 - \mu_2 \|M\|)^{-1}.$$

Then for each  $b \in R_1$  satisfying

$$(2.6) \quad b \geq \mu_2 \|M\| (1 - \mu_2 \|M\|)^{-1},$$

each  $\rho > 0$ ,  $w \in N(A)$ ,  $\|w\| \leq \rho$  and any  $v \in R(A)$ ,  $\|v\| \leq a_0 + b\rho$ , we have

$$(2.7) \quad \|MQU(w + v)\| \leq a_0 + b\rho.$$

Indeed, if  $a > 0$ ,  $b \geq \mu_2 \|M\| (1 - \mu_2 \|M\|)^{-1}$  then for  $w \in N(A)$ ,  $\|w\| \leq \rho$  and  $v \in R(A)$ ,  $\|v\| \leq a + b\rho$ , it is

$$\|MQU(w + v)\| \leq \|M\| \bar{\mu}_1 + \|M\| \mu_2 (\rho + a + b\rho).$$

Set

$$z(b, \rho) = \|M\| \bar{\mu}_1 + (\mu_2 \|M\| + \mu_2 \|M\| b - b)\rho.$$

The function  $z(b, \rho)$  is bounded from above for  $\rho \geq 0$ ,  $b \geq \mu_2 \|M\| (1 - \mu_2 \|M\|)^{-1}$ . Put

$$r(a) = (1 - \mu_2 \|M\|)a.$$

Then condition (2.2) implies  $\mu_2 < \|M\|^{-1}$  and hence

$$z(b, \rho) \leq r(a_0)$$

for all  $b$  and  $\rho$  considered. Thus the inequality (2.7) holds.

**F.** For  $w \in N(A)$ ,  $\|w\| = \rho$  we have

$$(2.8) \quad \begin{aligned} & \|w - \varepsilon PU(w + MQU(w + v))\|^2 \\ &= \rho^2 - 2\varepsilon (U(w + MQU(w + v)), w) + \varepsilon^2 \|PU(w + MQU(w + v))\|^2 \end{aligned}$$

and

$$(2.9) \quad \begin{aligned} & (U(w + MQU(w + v)), w) \\ &= \rho (U(\rho(\rho^{-1}w + \rho^{-1}MQU(w + v))), \rho^{-1}w). \end{aligned}$$

Fix  $b \in \langle \mu_2 \|M\| (1 - \mu_2 \|M\|)^{-1}, \delta \rangle$ . Then for each  $\rho \geq (\delta - b)^{-1}a_0$ ,  $v \in R(A)$ ,  $\|v\| \leq a_0 + b\rho$  and  $w \in N(A)$ ,  $\|w\| = \rho$ , we have  $\|\rho^{-1}MQU(w + v)\| \leq \delta$  by virtue of (2.7).

Thus by the relation (2.5) there exists  $\rho_0 > 2(\delta - b)^{-1}a_0$  such that for each  $\rho \geq \rho_0/2$ ,

$$(2.10) \quad (U(\rho(\rho^{-1}w + \rho^{-1}MQU(w + v))), \rho^{-1}w) \geq 1$$

holds provided  $\|w\| = \rho$  and  $\|v\| \leq a_0 + b\rho$ .

From (2.8)-(2.10) we have:

$$\|w - \varepsilon PU(w + MQU(w + v))\|^2 \leq \rho^2 - 2\varepsilon\rho + \varepsilon^2 [\bar{\mu}_1 + \mu_2(\rho + a + b\rho)]^2$$

for each  $\varepsilon > 0$ ,  $\rho \geq \rho_0/2$ ,  $\|w\| = \rho$ ,  $\|v\| \leq a_0 + b\rho$ . Thus for  $\rho \in \langle \rho_0/2, \rho_0 \rangle$  and

$$\varepsilon \in (0, \rho_0 [\bar{\mu}_1 + \mu_2(\rho_0 + a_0 + b\rho_0)]^{-2})$$

we obtain

$$(2.11) \quad \|w - \varepsilon PU(w + MQU(w + v))\| \leq \rho_0$$

whenever  $\|w\| = \rho$  and  $\|v\| \leq a_0 + b\rho_0$ .

For  $\|w\| \leq \rho_0/2$ ,  $\|v\| \leq a_0 + b\rho_0$  and arbitrary

$$\varepsilon \in \left( 0, \frac{1}{4} \rho_0 \left( \bar{\mu}_1 + \mu_2 \left[ \frac{\rho_0}{2} + a_0 + b\rho_0 \right] \right)^{-1} \right)$$

we have

$$(2.12) \quad \|w - \varepsilon PU(w + MQU(w + v))\| \leq \frac{\rho_0}{2} + \frac{\rho_0}{4} < \rho_0.$$

2) For example,  $\langle \alpha, \beta \rangle$  denotes the interval  $\alpha \leq x < \beta$ .

G. Set

$$\varepsilon_0 = \min \left\{ \rho_0(\bar{\mu}_1 + \mu_2[\rho_0 + a_0 + b\rho_0])^{-2}, \frac{1}{4} \rho_0(\bar{\mu}_1 + \mu_2 \left[ \frac{\rho_0}{2} + a_0 + b\rho_0 \right])^{-1} \right\}$$

and

$$\mathcal{K} = \{[w, v] \in N(A) \times R(A) : \|w\| \leq \rho_0, \|v\| \leq a_0 + b\rho_0\}.$$

The set  $\mathcal{K}$  is convex closed and nonempty and relations (2.7), (2.11) and (2.12) imply  $V_{\varepsilon_0}(\mathcal{K}) \subset \mathcal{K}$ . Hence the mapping  $V_{\varepsilon_0}$  has a fixed point and thus equation (2.1) is solvable as follows from part D.

### 3. Application to boundary value problems.

Let  $\mathcal{Q}$  be a bounded domain in  $N$ -dimensional Euclidean space  $R_N (N \geq 1)$  with boundary  $\partial\mathcal{Q}$  which is lipschitzian in case of  $N > 1$ . If  $\alpha_i$  are non-negative integers ( $i=1, \dots, N$ ) we denote  $\alpha = (\alpha_1, \dots, \alpha_N)$ , and

$$D^\alpha = \frac{\partial^{|\alpha|}}{\partial x_1^{\alpha_1} \dots \partial x_N^{\alpha_N}},$$

where  $|\alpha| = \sum_{i=1}^N \alpha_i$ . For  $k > 0$  (integer), let  $W_2^k(\mathcal{Q})$  be the Sobolev space of real functions  $u \in L_2(\mathcal{Q})$  for which (in the sense of distributions)  $D^\alpha u \in L_2(\mathcal{Q})$  if  $|\alpha| \leq k$ , with the inner product

$$(u, v)_k = \sum_{|\alpha| \leq k} \int_{\mathcal{Q}} D^\alpha u(x) D^\alpha v(x) dx$$

and with the norm  $\|u\|_k = (u, u)_k^{1/2}$ .

Denoting by  $\mathcal{D}(\mathcal{Q})$  the set of all infinitely differentiable functions on  $\mathcal{Q}$  with compact supports in  $\mathcal{Q}$ , we define  $\mathring{W}_2^k(\mathcal{Q})$  to be the closure of  $\mathcal{D}(\mathcal{Q})$  in  $W_2^k(\mathcal{Q})$ . Let  $V$  be a closed subspace of  $W_2^k(\mathcal{Q})$  such that

$$\mathring{W}_2^k(\mathcal{Q}) \subset V \subset W_2^k(\mathcal{Q}).$$

Let

$$(3.1) \quad a_{ij}(x) \in L_\infty(\mathcal{Q}), \quad a_{ij} = a_{ji} \quad (|i|, |j| \leq k).$$

Suppose that there exists  $c > 0$  such that

$$(3.2) \quad \sum_{|i|=|j|=k} a_{ij}(x) \xi_i \xi_j \geq c \sum_{|i|=k} \xi_i^2$$

for all  $\xi_i \in R_1(|i|=k)$  and almost all  $x \in \mathcal{Q}$ .

Let

$$(3.3) \quad A_{ij} \in L_\infty(\partial\mathcal{Q}), \quad A_{ij} = A_{ji} \quad (|i|, |j| < k).$$

Since

$$A(v, u) = \sum_{|i|, |j| \leq k} \int_{\Omega} a_{ij}(x) D^i v(x) D^j u(x) dx + \sum_{|i|, |j| < k} \int_{\partial\Omega} A_{ij} D^i v D^j u dS \quad (3)$$

is a symmetric bounded and bilinear form on  $W_2^k(\Omega) \times W_2^k(\Omega)$ , we can define the mapping  $A : V \rightarrow V$  by the relation

$$(3.4) \quad (A(v), u)_k = A(v, u)$$

for all  $u, v \in V$ .

Under the assumptions (3.1) and (3.3) the operator  $A$  is selfadjoint. Moreover, the operator  $A_1 : V \rightarrow V$  defined by

$$(A_1(v), u)_k = \sum_{|i|=|j|=k} \int_{\Omega} a_{ij}(x) D^i v(x) D^j u(x) dx$$

for all  $u, v \in V$  is an isomorphism from  $V$  onto  $V$  (by condition (3.2)). The mapping  $A_2 = A - A_1$  is completely continuous by virtue of the complete continuity of the imbeddings from  $W_2^k(\Omega)$  into  $W_2^{k-1}(\Omega)$  and from  $W_2^1(\Omega)$  into  $L_2(\partial\Omega)$  (see e.g. [5, Chapter II]). Thus the range  $R(A)$  is a closed subspace of  $V$  and the null-space  $N(A)$  is finite-dimensional.

Let  $l$  be a positive integer and suppose

$$(3.5) \quad 2(k-l+1) > N.$$

Inequality (3.5) implies that the Sobolev space  $W_2^k(\Omega)$  is continuously imbedded into the Schauder space  $C^{l-1}(\bar{\Omega})$  and, moreover, the imbedding is completely continuous (see e.g. [5]). For our convenience, denote by  $c^*$  the norm of the identity mapping from  $W_2^k(\Omega)$  into  $C^{l-1}(\bar{\Omega})$ .

Let  $\mathcal{M}$  be a nonempty set of multiindices  $\beta = (\beta_1, \dots, \beta_N)$  such that  $|\beta| \leq l-1$ .

**Lemma.** Assume (3.1)–(3.5) and let  $\dim N(A) \geq 1$ . Moreover, suppose that

$$(3.6) \quad \text{if } w \in N(A) \text{ and the derivative } D^\alpha w \text{ for some } \alpha \in \mathcal{M} \text{ vanishes on the set of positive measure in } \Omega, \text{ then } w = 0.$$

For  $w \in N(A)$ ,  $\|w\|_k = 1$ ,  $\alpha \in \mathcal{M}$  and  $\varepsilon > 0$  put

$$\begin{aligned} \mathcal{O}_\varepsilon^\alpha(w) &= \{x \in \Omega : |D^\alpha w(x)| \geq \varepsilon\}, \\ \mathcal{P}_\varepsilon^\alpha(w) &= \{x \in \Omega : 0 < |D^\alpha w(x)| < \varepsilon\}. \end{aligned}$$

Then

$$\min_{\alpha \in \mathcal{M}} \inf \{ \varepsilon > 0 : \inf_{\substack{w \in N(A) \\ \|w\|_k = 1}} (\text{meas } \mathcal{O}_\varepsilon^\alpha(w) - \text{meas } \mathcal{P}_\varepsilon^\alpha(w)) \leq 0 \} = \varepsilon^* > 0,$$

3) In the surface integral the derivatives  $D^i v, D^j u$  are considered in the sense of traces. Since we suppose that  $\Omega$  is a domain with lipschitzian boundary  $\partial\Omega$  and, moreover,  $D^i v, D^j u \in W_2^1(\Omega)$  for  $|i|, |j| < k$ , the traces are well-defined (see e.g. [5, p. 15]).

where  $\text{meas}$  denotes the  $N$ -dimensional Lebesgue measure.

**Proof.** Suppose that  $\varepsilon^*=0$ . Then there exist  $\alpha_0 \in \mathcal{M}$ , a monotone sequence of positive numbers  $\varepsilon_n$  with  $\lim_{n \rightarrow \infty} \varepsilon_n = 0$ , and a sequence  $w_n \in N(A)$ ,  $\|w_n\|_k = 1$  such that  $w_n$  converges to  $w_0$  in the space  $W_2^k(\mathcal{Q})$  (since the subspace  $N(A)$  is finite-dimensional),  $D^\alpha w_n(x)$  converges uniformly to  $D^\alpha w_0(x)$  on  $\bar{\mathcal{Q}}$  for  $|\alpha| \leq l-1$  (since the imbedding from  $W_2^k(\mathcal{Q})$  into  $C^{l-1}(\bar{\mathcal{Q}})$  is completely continuous) so that

$$\text{meas } \mathcal{O}_{\varepsilon_n}^{\alpha_0}(w_n) \leq \frac{1}{n} + \text{meas } \Psi_{\varepsilon_n}^{\alpha_0}(w_n), \quad n=1, 2, \dots$$

The last inequality together with the assumption (3.6) implies

$$(3.7) \quad \frac{1}{2} \text{meas } \mathcal{Q} \leq \frac{1}{2n} + \text{meas } \{x \in \mathcal{Q} : |D^{\alpha_0} w_n(x)| < \varepsilon_n\}$$

for  $n=1, 2, \dots$ .

Let  $\eta > 0$  be arbitrary but fixed. Then there exists a positive integer  $n_0$  such that for each  $n \geq n_0$  and  $x \in \bar{\mathcal{Q}}$  we have

$$|D^{\alpha_0} w_n(x) - D^{\alpha_0} w_0(x)| < \eta$$

and so

$$(3.8) \quad \{x \in \mathcal{Q} : |D^{\alpha_0} w_n(x)| < \varepsilon_n\} \subset \{x \in \mathcal{Q} : |D^{\alpha_0} w_0(x)| < \eta + \varepsilon_n\}$$

for  $n \geq n_0$ .

From (3.7) and (3.8) we obtain

$$\frac{1}{2} \text{meas } \mathcal{Q} \leq \frac{1}{2n} + \text{meas } \{x \in \mathcal{Q} : |D^{\alpha_0} w_0(x)| < \eta + \varepsilon_n\}$$

for  $n \geq n_0$  and thus

$$(3.9) \quad \frac{1}{2} \text{meas } \mathcal{Q} \leq \text{meas } \{x \in \mathcal{Q} : |D^{\alpha_0} w_0(x)| \leq \eta\}.$$

Since the inequality (3.9) holds for arbitrary  $\eta > 0$ , we have

$$\frac{1}{2} \text{meas } \mathcal{Q} \leq \text{meas } \{x \in \mathcal{Q} : D^{\alpha_0} w_0(x) = 0\}.$$

Consequently,  $w_0 = 0$  by the assumption (3.6). This is a contradiction with  $\|w_0\|_k = 1$ .

For every  $\alpha \in \mathcal{M}$  let  $g_\alpha$  be a continuous monotone odd function on  $R_1$ . Suppose that there exist constants  $c_1, c_2 > 0$  such that

$$(3.10) \quad |g_\alpha(\xi)| \leq c_1 + c_2 |\xi|$$

for all  $\xi \in R_1$  and suppose that

$$(3.11) \quad \lim_{\xi \rightarrow \infty} g_\alpha(\xi) = \infty.$$

Define the operator  $S : V \rightarrow V$  by

$$(S(u), v)_k = \sum_{\alpha \in \mathcal{M}} \int_{\Omega} g_{\alpha}(D^{\alpha}u(x)) D^{\alpha}v(x) dx$$

for all  $u, v \in V$ .

Using the results about the continuity of the Nemyckij operator from  $L_2(\Omega)$  into  $L_2(\Omega)$  (see e. g. [6]), we obtain by virtue of the complete continuity of the imbedding from  $W_2^k(\Omega)$  into  $W_2^{k-1}(\Omega)$  that the mapping  $S$  is completely continuous.

Further,

$$\begin{aligned} \|S(u)\|_k &= \sup_{\|v\|_k=1} \left| \sum_{\alpha \in \mathcal{M}} \int_{\Omega} g_{\alpha}(D^{\alpha}u(x)) D^{\alpha}v(x) dx \right| \\ &\leq c^* \sum_{\alpha \in \mathcal{M}} \int_{\Omega} |g_{\alpha}(D^{\alpha}u(x))| dx \leq c^* (\text{card } \mathcal{M}) c_1 (\text{meas } \Omega) \\ &\quad + c^* (\text{meas } \Omega)^{1/2} (\text{card } \mathcal{M})^{1/2} c_2 \|u\|_k \end{aligned}$$

(card  $\mathcal{M}$  is the number of points in the set  $\mathcal{M}$ ) and

$$\begin{aligned} \|S(u)\|_k &= \sup_{\|v\|_k=1} \left| \sum_{\alpha \in \mathcal{M}} \int_{\Omega} g_{\alpha}(D^{\alpha}u(x)) D^{\alpha}v(x) dx \right| \\ &\leq \sum_{\alpha \in \mathcal{M}} \left( \int_{\Omega} |g_{\alpha}(D^{\alpha}u(x))|^2 dx \right)^{1/2} \leq c_1 (\text{card } \mathcal{M}) (2 \text{ meas } \Omega)^{1/2} \\ &\quad + c_2 (2 \text{ card } \mathcal{M})^{1/2} \|u\|_k. \end{aligned}$$

Thus there exists  $\mu_1 > 0$  such that for each  $u \in V$  we have

$$\|S(u)\|_k \leq \mu_1 + \mu_2 \|u\|_k,$$

where

$$\mu_2 = \min \{ c^* c_2 (\text{meas } \Omega \text{ card } \mathcal{M})^{1/2}, c_2 (2 \text{ card } \mathcal{M})^{1/2} \}.$$

**Theorem 2.** *Let the notation introduced in this Section be observed. Suppose (3.1)–(3.6), (3.10), (3.11). Let*

$$(3.12) \quad c_2 < \varepsilon^* [ \|M\| (\text{card } \mathcal{M})^{1/2} (\varepsilon^* + 2c^*) \min \{ \sqrt{2}, c^* (\text{meas } \Omega)^{1/2} \} ]^{-1}.$$

*Then for each  $f \in L_2(\Omega)$  there exists a weak solution  $u_0 \in V$  of the equation  $A(u) - S(u) = f$ , i. e.,*

$$A(u_0, v) - (S(u_0), v)_k = \int_{\Omega} f(x) v(x) dx$$

for all  $v \in V$ .

**Proof.** Let  $\varepsilon \in (0, \varepsilon^*)$  and  $\delta \in (0, \varepsilon/2c^*)$  be fixed. To obtain Theorem 2 we shall apply Theorem 1. It is sufficient to verify condition (S2) with  $\delta$ . Suppose that this condition is not fulfilled. Then there exist  $K > 0$  and sequences,

$$t_n \in R_1, \lim_{n \rightarrow \infty} t_n = \infty, v_n \in R(A), \|v_n\|_k \leq \delta, w_n \in N(A), \|w_n\|_k = 1,$$

such that

$$(3.13) \quad (S(t_n(w_n + v_n)), w_n)_k \leq K.$$

By virtue of condition (3.5) we have  $|D^\alpha v_n(x)| \leq c^* \delta$  for all  $x \in \bar{Q}$ , every  $n$  and every  $\alpha \in \mathcal{M}$ . Thus (using the assertion of Lemma) we have

$$\begin{aligned} & (S(t_n(w_n + v_n)), w_n)_k \\ &= \sum_{\alpha \in \mathcal{M}} \int_{\Omega} g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) dx \\ &= \sum_{\alpha \in \mathcal{M}} \left( \int_{\Phi_\varepsilon^\alpha(w_n)} g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) dx \right. \\ & \quad \left. + \int_{\Psi_\varepsilon^\alpha(w_n)} g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) dx \right) \\ &\geq \sum_{\alpha \in \mathcal{M}} \varepsilon \{g_\alpha(t_n(\varepsilon - c^* \delta)) \text{meas } \Phi_\varepsilon^\alpha(w_n) - g_\alpha(t_n c^* \delta) \text{meas } \Psi_\varepsilon^\alpha(w_n)\} \\ &= \sum_{\alpha \in \mathcal{M}} \varepsilon g_\alpha(t_n c^* \delta) \left[ \frac{g_\alpha(t_n(\varepsilon - c^* \delta))}{g_\alpha(t_n c^* \delta)} \text{meas } \Phi_\varepsilon^\alpha(w) - \text{meas } \Psi_\varepsilon^\alpha(w) \right] \\ &\geq \varepsilon \sum_{\alpha \in \mathcal{M}} g_\alpha(t_n c^* \delta) \inf_{\substack{w \in N(A) \\ \|w\|_k = 1}} [\text{meas } \Phi_\varepsilon^\alpha(w) - \text{meas } \Psi_\varepsilon^\alpha(w)]. \end{aligned}$$

The last inequality is a contradiction with assumption (3.13).

(Note that the integral

$$\int_{\Psi_\varepsilon^\alpha(w_n)} g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) dx$$

is estimated by means of the following inequalities: if  $x \in \Psi_\varepsilon^\alpha(w_n)$ ,  $D^\alpha w_n(x) > 0$  then  $g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) \geq \varepsilon g_\alpha(-t_n c^* \delta) = -\varepsilon g_\alpha(t_n c^* \delta)$ , and if  $x \in \Psi_\varepsilon^\alpha(w_n)$ ,  $D^\alpha w_n(x) < 0$  then  $g_\alpha(t_n(D^\alpha w_n(x) + D^\alpha v_n(x))) D^\alpha w_n(x) \geq -\varepsilon g_\alpha(t_n c^* \delta)$ .)

#### 4. Remarks.

**Remark 1.** From part *G* of the proof of Theorem 1 (Section 2) we obtain for a solution  $u_0$  of equation (2.1) the following estimate:

$$\|u_0\|^2 \leq (a_0 + 2bT_K)^2 + (2T_K)^2,$$

where

$$\begin{aligned} 0 &< b < \delta, \\ a_0 &= \|M\|(\mu_1 + \|h\|)(1 - \mu_2 \|M\|)^{-1}, \\ K &= 1 - \min_{\substack{w \in N(A) \\ \|w\|_k = 1}} (h, w), \\ T_K &= \max \{(\delta - b)^{-1} a_0, t_K\}. \end{aligned}$$

**Remark 2.** If condition (3.10) is replaced by

$$|g_\alpha(\xi)| \leq c(1 + |\xi|^\delta)$$

( $\delta \in (0, 1)$ ), then the assertion of Theorem 2 is true with no restriction on  $c > 0$ . This case is solved in [2].

**Remark 3.** We consider the boundary value problem

$$(4.1) \quad \begin{aligned} \lambda u'' + u + g(u) &= f \\ u(0) = u(\pi) &= 0, \end{aligned}$$

where  $g$  is a continuous odd and monotone function on  $R_1$ ,

$$(4.2) \quad \lim_{\xi \rightarrow \infty} g(\xi) = \infty,$$

$$(4.3) \quad |g(\xi)| \leq c_1 + c_2|\xi|.$$

For the sake of simplicity we consider  $\lambda = 1$ . Then  $\|M\| = 4/3$ ,  $\varepsilon^* = \pi/4$ ,  $c^* = \pi^{1/2}$ . From (3.12) we obtain that the sufficient condition for the weak solvability of (4.1) for any right hand side  $f \in L_2(0, \pi)$  is

$$c_2 < \frac{3}{4} \pi^{1/2} [\sqrt{2} (\pi^{1/2} + 8)]^{-1} = c_2^*.$$

Clearly  $c_2^* < 3/4 =$  distance to the next eigenvalue. An open problem is whether equation (4.1) is weakly solvable for each  $f \in L_2(0, \pi)$  provided  $c_2 \in \langle c_2^*, 3/4 \rangle$ .

**Remark 4.** Theorem 1 can be applied to the boundary value problem for partial differential equations, where the nonlinear perturbation is of the type

$$\sum_{|i|, |j| < k} (-1)^j D^j(g_i(x, u, \dots)).$$

However, the conditions on the functions  $g_i$  are very complicated and it is better to verify assumption (S2) in every particular case. An example may be obtained by modifying slightly the example from paper [2].

The author is very much indebted to the referee for his suggestions and comments.

### References

- [1] S. Fučík, Note on the Fredholm alternative for nonlinear operators, *Comment. Math. Univ. Carolinae*, **12** (1971), 213-226.
- [2] S. Fučík, M. Kučera and J. Nečas, Ranges of nonlinear asymptotically linear operators (to appear).
- [3] E. M. Landesman and A. C. Lazer, Linear eigenvalues and a nonlinear boundary value problem, *Pac. J. Math.*, **33** (1970), 311-328.
- [4] M. Nakao, An existence theorem for a nonlinear Dirichlet problem, *Memoirs of Fac. of Sci., Kyushu Univ.*, **26** (1972), 201-217.
- [5] J. Nečas, Les méthodes directes en théorie des équations elliptiques, *Academia*,

Prague, 1967.

- [6] M. M. Vajnberg, Variational methods for the study of nonlinear operators, San Francisco, 1964.

nuna adreso :  
Department of Mathematical Analysis  
Faculty of Mathematics and Physics  
Charles University  
83 Sokolovská  
186 00 Prague  
Czechoslovakia

(Ricevita la 16-an de junio, 1973)  
(Reviziita la 18-an de marto, 1974)